

EMFF Operational Programme 2014-2020

Sustainable Aquaculture Scheme



Sustainable aquaculture Scheme

APPLICATION FORM FOR AN AQUACULTURE GRANT

Name of Applicant:	
Address of Applicant:	
Location of Project:	

For Official Use Only	
Application Reference No:	
Date of Receipt	

CONTENTS

(A) Guidelines for Applicants	2
(B) Contents of the Application Form	10
1. Applicant/Beneficiary	10
2. Site Location and Licences	12
3. Aquaculture Workboats	16
4. Species and Output	16
5. Employment	20
6. Proposed Project and Marketing	20
7. Investment	24
8. Finance	27
9. Historical Balance Sheets/P&L and Projected P&L Accounts	28
10. Cost Competitiveness	28
11. Sustainable Impact	28
12. Tax Information Requirements	30
13. Documentation to be submitted	31
14. Bank Account Details	32
15. Declaration	32
Appendices 1, 2 and 3	34

GUIDELINES FOR APPLICANTS

1. Objective of Intervention

In accordance with the Strategy for Sustainable Development of Aquaculture specified in section 3.1 of the EMFF OP, the objective of this Scheme is to promote the sustainable growth of output, value and employment in the aquaculture sector. The OP Strategy identifies that this objective will be promoted through supports for sustainably increasing the productive output of aquaculture enterprises, supporting new aquaculture enterprises entering the sector, scaling up of aquaculture enterprises to improve their competitiveness and efficiency, diversification into new species, more farming of underutilised species and promotion of organic aquaculture. Particular attention will also be given to the diversification of aquaculture towards methods with significant commercial output.

The National Strategic Plan for Aquaculture proposes the following actions which are relevant to this Scheme and may be progressed with support from this Scheme.

Action No.	Description
1	Build capacity and scale in the industry
2	Dedicated supports to new entrants to the sector
3	Promote organic aquaculture practices and certification
4	Aid shellfish producers affected by major biotoxin episodes

2. Definitions

For the purposes of this document;

‘Board’: refers to the ‘Project Approvals Board’ (PAB) comprised of representatives of the Managing Authority (Chair), BIM, Údarás na Gaeltachta, Marine Institute.

“Intermediate body” means an Bord Iascaigh Mhara (BIM) and/or Údarás na Gaeltachta for Type 3 projects.

“New Aquaculture Farmer” means a new entrant to the sector who has never previously held an aquaculture licence, either in their own name or as the director or owner of another business.

3. Description of Intervention

The Sustainable Aquaculture Scheme, to be administered by Bord Iascaigh Mhara (BIM), aims to achieve the above objective by providing supports for the following project types.

Project Type 1	Organic Aquaculture	Article 53
Project Type 2	Biotoxin Harvest Suspension	Article 55
Project Type 3	Capacity Building	Article 48 & 52

BIM will launch competitive calls for projects, as appropriate. These will be open to licensed aquaculture enterprises, and will support relevant projects that align with the EMFF OP objectives outlined in section 1.

Project Type 1: Organic Aquaculture

There are recognised competitive and biodiversity benefits of organic aquaculture. These projects will support efforts to encourage conventional producers to move to organic production and participate in EU eco-management and audit schemes by providing assistance towards third party fees for organic certification and additional costs of participating in these schemes.

Projects Type 2: Biotoxin Harvesting Suspension

Suspension for public health reasons of harvesting of shellfish during periods of raised biotoxin levels can in some cases lead to lost production and ensuing trading difficulties. Harvesting suspensions for extended periods can be compounded by stock exceeding market size, becoming fouled with marine organisms and losses of stock from over-capacity on long lines. This Scheme may provide limited aid to producers affected by closures lasting more than 4 months, to assist those producers in recovery, thus preserving productive capacity and employment. Aid will be prioritised for operators affected by the longest suspensions and will be limited to a maximum total aid to all farmers of €500,000 per year. Subject to budget, BIM and Údarás na Gaeltachta will administer the Scheme through targeted calls for applications in areas affected by closures lasting more than 4 months. BIM will determine if a call for applications is to be initiated.

Projects Type 3: Capacity Building

Capacity building projects will support capital investment by aquaculture enterprises in the range of measures provided for in article 48 of the EMFF Regulation. These investments will enable enterprises to grow sustainable production, value and employment, will encourage the entry into the sector of new aquaculture enterprises and will support the evolution of SME enterprises through scaling-up. The Scheme will prioritise SME enterprises.

New entrants to the sector will be required to provide a business plan and, where the amount of investments is more than EUR50,000, a feasibility study including an environmental assessment of the operations. Support will be provided to new entrants only where it has been clearly demonstrated in an independent marketing report that good and sustainable market prospects exist for the new entrant's product.

4. Eligible Activities

The Scheme supports the following activities and related costs:

Project Type 1: Organic Aquaculture

- Assistance towards 3rd party fees for Organic Aquaculture Certification Schemes recognised by BIM and additional eligible costs of participating in these schemes as may be specified by BIM in application forms and/or a scheme brochure.

Projects Type 2: Biotoxin Harvesting Suspension

- Compensation for losses arising from harvesting suspensions due to toxin-producing plankton that have persisted for more than 4 consecutive months.
- The loss resulting from the suspension must amount to more than 25% of the annual turnover of the business, calculated on the average turnover over the 3 preceding calendar years.

Projects Type 3: Capacity Building

- Purchase and installation of new machinery and equipment and construction of new premises in relation to the following categories of investment:
 - Productive investments in aquaculture;
 - Setting up of sustainable aquaculture enterprises by 'new aquaculture farmers';
 - Diversification of aquaculture production and species cultured;

- Modernisation of aquaculture units, including the improvement in working and safety conditions of aquaculture workers;
 - Improvements and modernisation related to animal health and welfare, including the purchase of equipment aiming at protecting the farms from wild predators;
 - Investments reducing the negative impact or enhancing the positive effects on the environment and increasing resource efficiency;
 - Investments in enhancing the quality of, or in adding value to, aquaculture products;
 - Restoration of existing aquaculture ponds or lagoons through the removal of silt, or investments aimed at the prevention of silt deposits;
 - Investments resulting in a substantial reduction in the impact of aquaculture enterprises on water usage and quality, in particular through reducing the amount of water or chemicals, antibiotics and other medicines used, or through improving the output water quality, including through the deployment of multi-trophic aquaculture systems;
 - Promotion of closed aquaculture systems where aquaculture products are farmed in closed recirculation systems, thereby minimising water use;
 - Investments increasing energy efficiency and promoting the conversion of aquaculture enterprises to renewable sources of energy.
- General costs, such as architects' and engineers' fees, and construction insurance costs, up to a ceiling of 10% of the cost of the construction element of the project.

5. Support Rates

The Board may award supports as follows. The actual amount of grant awarded is at the discretion of the Board, who may award a lesser grant amount to all or any individual project(s), having regard to funds available and its view of the quality of a project(s).

Project Type 1: Organic Aquaculture

A maximum of €10,000 or 50% (30% for non-SMEs) of eligible expenditure, whichever is the lesser, per project per year.

Projects Type 2: Biotoxin Harvesting Suspension

A maximum of €100,000 or 50% (30% for non-SMEs) of eligible losses, whichever is the lesser, per beneficiary, subject to the maximum total aid to all farmers of €500,000 per year. Individual beneficiaries will be limited to one aid payment over the period of the Programme.

Projects Type 3: Capacity Building

Category of beneficiary	Maximum support rate	Maximum support amount per year
New Aquaculture Farmers	50%	€1,000,000
SME Aquaculture Enterprises	40%	€1,000,000
Non-SME Aquaculture Enterprises	30%	€1,000,000

6. Ineligible Expenditure

The following expenditure is ineligible for support.

- Expenditure for the purchase of land and/or buildings and related expenditure.
- Intangible costs (such as cost of pre-financing and of arranging loans and interest, administrative costs, patents, invitations to tender and costs relating to the purchase of a concession to operate fish farming).
- Second hand buildings, plant, machinery, equipment, boats, etc. and any associated installation costs.
- Cost of Housing.
- Repairs and maintenance work as well as the replacement of existing equipment and machinery, except where the replacement involves the purchase of equipment and machinery of another type which is therefore different either in terms of the technology employed or its absolute or hourly capacity. The purchase of new equipment must represent an improvement for the beneficiary and in this context new machinery with a lower capacity is not excluded, provided the new equipment is better suited to the beneficiary's needs.
- Provisional work not directly linked to the implementation of a project except where it makes possible a reduction in overall project cost.
- Landscaping, other embellishment works, except those specifically required as a condition of planning and recreational equipment costs.
- Purchase of vehicles for passenger transport is ineligible as are vehicles required for market distribution.
- Office equipment and furniture.
- The purchase of material which is normally written off within a year.
- Goods purchased under Hire Purchase agreements.
- Goods purchased under leasing agreements.
- Consultancy costs not directly related to the planning, preparation and execution of the project, including costs of management consultancy.

7. General terms and conditions

Applicants

- Must hold an up to date aquaculture licence and a foreshore licence (as required) that are in compliance with the Habitats and Birds Directives and be fully compliant with the terms and conditions of those licences.
- Must be viable, and must provide audited accounts, management accounts, and/or such other information as may be required by the Board to confirm such viability.
- Any monies owing to the Department of Agriculture, Food and the Marine or to either of the implementing agencies, must be paid in full before a grant can be paid.
- Applications must be submitted to an implementing agency and acknowledged by an implementing agency before any payments are made to suppliers
- Must have all planning permissions and Bye-Law approvals relevant to the project.
- Must have a current tax clearance certificate.
- Must comply with conditions of the letter of offer.
- New Aquaculture Farmers must produce a business plan for the development of their aquaculture business and, where the amount of investments is more than €50 000, a feasibility study including an environmental assessment of the operations.

Projects

- Must be consistent with the objectives of the EMFF OP and the National Strategic Plan for Aquaculture.
- Must represent a cost effective use of investment support funds.

8. Selection Process

Projects will be selected for funding as follows:

Step	Description	Carried Out By
1. Call for applications	Applications invited	BIM and Údarás na Gaeltachta
2. Eligibility Check and evaluation	To ensure compliance and to assess validity	BIM and Údarás na Gaeltachta
3. Assessment	To assess project potential	BIM and/or Údarás na Gaeltachta
4. Final Project Selection	To select projects for funding and decide support rates and amounts	Project Approvals Board
5. Grant Offer	Issue of letters of offer awarding EMFF funding	BIM and Údarás na Gaeltachta

Type 1 Organic aquaculture projects will be selected for support on the basis of verified eligible costs as may be specified by BIM in application forms and/or a scheme brochure.

Type 2 Biotoxin Compensation projects will be selected for support, subject to available budget in the year concerned, based on assessment by BIM of the losses incurred related to the biotoxin closure. Support will be restricted to applications from areas the subject of targeted calls for applications from BIM. BIM may specify a template for applications, including a template for assessment of eligible losses. Aid will be prioritised for operators affected by the longest suspensions and will be limited to a maximum total aid to all farmers of €500,000 per year.

Type 3 Capacity Building Projects will be assessed by BIM and Údarás na Gaeltachta using the Project Assessment Criteria detailed in section 9. Projects must achieve a minimum total score of 15 out of 25 to be considered for support by the Project Approvals Board. In the event that applications for funding exceed the funding available, a minimum total weighted score in excess of 15 may be implemented. The Projects Approvals Board will approve projects for support and determine the support rate and amount to be awarded to each project.

9. Assessment Scoring Type 3 Projects

The following assessment scoring system will be used by BIM and Údarás na Gaeltachta to assess Project potential for Type 3 Capacity Building Projects.

1. Project Planning/Objectives		
DEFINITION		Score
Rate the level of project planning as follows:		
Well defined project, consistent with the applicant's business plan. Clear milestones, meets all legal requirements, management and technical resources assigned. Well defined and transparent costing and reasonable timescale. Contingency planning in place. Adequate funding in place to deliver the project.	Outstanding	5
As above but less defined some milestones, management staffing and costing – some room for improvement. Adequate funding in place to deliver the project.	Strong	4
Reasonable project definition. Reasonable attempt at costing. Some level of management responsibility – may require modification. Adequate funding in place to deliver the project.	Reasonable	3
Project not well defined. Costing and value for money unclear. Management and technical resources unclear, timescale unrealistic. Adequate funding is not in place to deliver the project.	Poor	2
Poorly defined in terms of objectives, milestones and cost. Staffing and management very unsatisfactory. Adequate funding is not in place to deliver the project.	Very Weak	1
Comment on the quality of project planning.		
2.Process Capability Assessment		
DEFINITION		Score
Project is in line with the company business plan objectives. Advance market research report completed. Company demonstrates very good marketing capability with strong marketing investment, in line with the requirements of the project.	Outstanding	5
The outcomes of the project are credible and the company demonstrates capability with very clear and verifiable costings.	Strong	4
The project is outlined but less clear. The company demonstrates a degree of capability with costings outlined.	Satisfactory	3
The project plan is attempted but unclear. The company has necessary resources and costings are reasonable.	Poor	2
Company demonstrates weak or no capability to complete project, resources presently do not exist with poor attempt at costings.	Very Weak	1

3. Business Promoters Track Record		
DEFINITION		Score
Strong track record in the sector and growing their business. Evidence of commercializing previous projects and increasing sales. Successful track record in terms of Commercial/technical viability. Ability to plan/fund projects	Outstanding	5
As previous but record not as strong.	Strong	4
Reasonable track record in the sector.	Satisfactory	3
Weak track record.	Poor	2
No track record.	Very Weak	1
4. Impact of Funding		
DEFINITION		Score
How essential is the Programme funding to enable the company to undertake the project(s) and how consistent is the project with National objectives/targets for the sector.		
Project is highly unlikely to proceed without grant aid <i>And</i> Project directly addresses National development objectives/targets	High	5
Project is unlikely to proceed without grant aid <i>And/Or</i> Is generally in line with National development objectives/targets	Medium	3
Grant aid will act as a catalyst in for project implementation and Project is vaguely consistent with National development objectives/targets	Low	1
5. Employment Creation/Maintenance		
DEFINITION		Score
The impact on Employment Creation/Maintenance should be assessed. Rate as follows:		
The project will have a significant impact on the creation of new jobs and maintenance of existing employment at a relatively reasonable cost per job		5
The project will contribute to some new job creation and maintenance at a relatively higher cost		4
The project involves minimal new job creation but will underpin the commercial viability of existing employment		3
The project has minimal impact on new job creation or maintenance		0

6. Impact on Sustainability		
DEFINITION		Score
In this context sustainability is viewed as having three components: Environmental, Socio-Economic and Economic. This is in line with the widely accepted Bruntland definition of sustainability.		
The project will have a strongly beneficial effect from an sustainability standpoint.	High	5
The project will have a beneficial effect from an sustainability standpoint.	Medium	4
The project will have a weak beneficial effect from an sustainability standpoint.	Low	3

10. Payment Procedures

On written acceptance by the Applicant of the conditions attaching to the offer of grant, written correspondence shall issue enclosing a payment Application Form. This will specify the timescale for drawdown of the grant.

SUSTAINABLE AQUACULTURE SCHEME APPLICATION FORM

1. Applicant/Beneficiary

Applicant Name	
Address	
Phone/Mobile No	
Email Address	

1.2 Choose Applicant Type

Applicant Type	Choose relevant type	Registration Numbers	
Sole Trader		PPS No:	
Partnership*		PPS No:	
Company		Co Registration No:	
Co-operative		Co-operative No:	

(Please supply Deeds of Partnership, Memorandum and Articles of Association and Certificate of Incorporation or Rules as appropriate with this application).

*Individual PPS Numbers for each partner in the Partnership.

Project Type	Choose relevant category
New Aquaculture Farmers	
SME Aquaculture Enterprises	
Non-SME Aquaculture Enterprises	

1.3 Where the Applicant/Beneficiary above is a company, please list its Directors/ Shareholders and their respective shareholdings.

Director/Shareholder	Shareholding Number of shares	%

1.4 Where the Applicant/Beneficiary is a Co-operative, please give the names and addresses of Officers, Committee Members and Manager.

Names	Addresses

1.5 Brief history of the Enterprise

(Provide details of enterprise history/growth/performance & experience/qualifications of key personnel which are relevant to the project now proposed. Use additional paper if required)

2. SITE LOCATION AND LICENSING

1.1 MARINE SITE OR SITES WHERE STRUCTURES ARE TO BE LOCATED WHICH ARE THE SUBJECT OF THIS APPLICATION.

Please attach 6" Ordnance Survey map with co-ordinates of site(s) clearly marked, showing site number in respect of which the application has been made.

2.1.2 Licensed Site(s)

Reference Number of Aquaculture Licence	Names(s) of Licensee
Reference Number of Foreshore Licence	Names(s) of Licensee

Please attach copies of the above licences to this application and a receipt confirming that licence fees have been paid to date.

2.2 LAND BASED SITE

2.2.1 Where the project is situated on a land site, including machinery which will be stored on the applicants land attach the following:

Documents required	Attach
A site location map (6" Ordnance Survey), showing site clearly marked	Yes <input type="checkbox"/> No <input type="checkbox"/>
State who has title to the site and attach verification of this title, (copy of land registry/solicitor verification)	Yes <input type="checkbox"/> No <input type="checkbox"/>
Attach copy of grant of planning permission showing the reference number and name of planning authority	Yes <input type="checkbox"/> No <input type="checkbox"/>
Where applicable attach copy of Effluent Discharge Licence which shows reference number	Yes <input type="checkbox"/> No <input type="checkbox"/>

2.3 Explain why the site has been chosen outlining the advantages/disadvantages of the proposed site

NATURA 2000

2.4 Is the project located in or adjacent to a designated area e.g. SPA (Special Protection Area) or SAC (Special Area of Conservation) or NHA (National Heritage Area), and if so, what are the implications of this for the investment proposed in this application and for the operation generally?

2.4.1 If the project is located in or adjacent to or likely to have a significant effect on a Natura 2000 area, has an Appropriate Assessment been carried out in line with the provisions of the European Communities (Natural Habitats) Regulations (SI 94/1997 amended by SI 233/1998 and SI 378/2005)?

2.5 In the case of shellfish projects, what is the productivity of the bay and what is the growth rate from input of juveniles to market size?

2.6 From where will the site be accessed?

2.7 What is the risk of pollution to the proposed site e.g. sewage outfall, agriculture runoff, industrial waste etc.?

SHELLFISH ONLY (2.8 – 2.12)

2.8 What is the classification of your growing waters?

2.9 Do you have a Dispatch/Depuration centre number from the Sea Fisheries Protection Authority and if so, what is the number?

2.10 If not, which registered Packing/Despatch facilities will you be using? Please give registration numbers.

2.12 Do you have a Harvester's/Gatherer's Log Book? Please give registration number.

3. AQUACULTURE WORKBOATS

- 3.1 In the case of applications concerned with the construction or modernisation of an aquaculture workboat (excluding vessels with dredges, which are not eligible for aid), or with the installation of equipment on an aquaculture workboat, please confirm whether or not the vessel concerned holds a *Load Line Certificate* and attach copy as appropriate.

If not, please state if an application has been made for a Load Line Survey under the Merchant Shipping (Load Line) Rules 1968. If you are exempt please supply written evidence of same.

Relevant Documents	Yes/No (Where Yes attach a copy)
Vessel holds a Loadline Certificate	Yes <input type="checkbox"/> No <input type="checkbox"/>
Application for load line survey submitted	Yes <input type="checkbox"/> No <input type="checkbox"/>
Proof of vessel being loadline exempt	Yes <input type="checkbox"/> No <input type="checkbox"/>

- 3.2 In the case of the Modernisation or Equipment of an existing vessel, please attach copy of Vessel Registration Certificate.

Vessel Registration Type:

4. SPECIES and OUTPUT

- 4.1 What species will be farmed?

- 4.2 Provide detailed information on the techniques in use or to be used - Are these techniques currently in use or are they new?

- 4.3 Health & Safety

Relevant Documents	Yes <input type="checkbox"/> No <input type="checkbox"/>
Do you have a Health & Safety Statement?	If Yes please submit a copy

4.4 source of seeds/smolts/juveniles for use in the operation

Year	Species	Quantities	Unit Cost €	Size Range	Total Cost €	Supplier (s)
Year 1						
Year 2						
Year 3						

** N.B. Importation and movement of seed/smolts within the State requires authorisation from the Marine Institute. Permits/authorisations may be issued by the Marine Institute under Statutory Instrument No. 261 of 2008.*

4.5 Finfish Culture

Please state from where food supplies will be sourced, the unit cost of feed and indicate expected feed conversion rates.

4.6 Please indicate when normal production will be reached.

4.7 Forecast output

Production for own use e.g., Hatchery/Nursery:

Species	Size Range	Year:		Year:		Year:	
		Volume	Value	Volume	Value	Volume	Value

Product for sale:

Species	Size Range	Year:		Year:		Year:	
		Volume	Value	Volume	Value	Volume	Value

4.8 Existing and proposed capacity and turnover

Figures for current year relate to last full year of available data.

Supplied Data must match figures in historical and projected P&L (See Appendices)

Year ending	Volumes Tonnes	Size range	Sales/T'over	Profit (Loss)
Historical Year -3				
Historical Year -2				
Historical Year -1				
Current Year				
Projected Year +1				
Projected Year +2				
Projected Year +3				

4.9 Stock inventory

Please provide an inventory of the physical production capacity of the applicant's existing business, specifying the numbers and capacities of cages, trestles, longlines, etc. and other physical assets.

[illegible]

5. EMPLOYMENT

5.1 Please detail existing and proposed employment on project completion.

Clearly show Male/Female numbers and gross salary per person.

		Full Time		Part Time*/Casual**		Total	
		Male	Female	Male	Female	Male	Female
Latest Year	Number						
	Gross Salary						
Year 1	Number						
	Gross Salary						
Year 2	Number						
	Gross Salary						
Year 3	Number						
	Gross Salary						

*Average 26 weeks per year, **Average 16 weeks per year

6. PROPOSED PROJECT

(Supply on a separate page if necessary. Fill all categories)

Project Type	Choose Relevant Type	
Project Type 1	Organic Aquaculture	<input type="checkbox"/>
Project Type 2	Biotoxin Harvest Suspension	<input type="checkbox"/>
Project Type 3	Capacity Building	<input type="checkbox"/>

Project Title:

Project Objectives:

Rationale for the Project including the impact on output and profitability:

6.1 Marketing

Complete on a separate page if necessary

**State current trends in the existing market:
(current supply, demand, prices, competition, issues affecting market)**

6.1 Marketing (continued)

State where your existing market/outlets are:

State your strategy for proposed markets/outlets:
(Include market research undertaken)

6.2 Timescale for project implementation

Scheduled date of commencement of project	DD/MM/YY
Scheduled date of completion of project	DD/MM/YY

7. Investment

7.1 Summary Cost of Planned Work

- Please note that all items of expenditure must be documented by a written quotation for items of equipment at application stage.
- At least two additional quotations are required at contract stage to demonstrate competitive tendering for drawdown of any approved grants.
- In the case of buildings and civil engineering work, an Engineers/Quantity Surveyors report must be submitted. Items not documented in this way will not be considered for grant aid).

SUMMARY OF THE PLANNED WORK - Original quotations required

Work Heading	Cost of Work (Excluding VAT) €	Quotation Reference No. (See reconciliation sheet attached).
(a) Infrastructure:		
- earth moving/dredging		
- preparation of sea-bed		
- roads etc.		
- pumping station		
- other		
(b) Construction of buildings:		
- hatchery		
- storage/preparation of food		
- processing/dispatch		
- services		
- other		
(c) Rearing ponds/basins/tanks:		
- in earth		
- in concrete		
- other		
(d) Cages		
(e) Shellfish culture: production and collection equipment		
(f) Equipment/machinery		
(g) Marking and surveillance equipment, protection		
(h) Other investment		
(i) Internal transport		
(j) External transport		
(k) Boats		
Total Investment Costs excluding VAT		

7.2 Quotation Reconciliation Sheet

7.2.1 Please list the quotations below so as to cross-refer to the work heading in the Summary in 7.1 and append quotations to the application.

7.2.2 List additional quotations in this section also, supplying written accompanying documents

[illegible]

8. FINANCE

8.1 Financing Plan for the Project

Beneficiary Type	Grant rate %	Please tick
New Aquaculture Farmers*	50%	<input type="checkbox"/>
SME Aquaculture Enterprises	40%	<input type="checkbox"/>
Non-SME Aquaculture Enterprises	30%	<input type="checkbox"/>

*New Entrants to Aquaculture must provide a Business Plan & if investment over €50,000 a feasibility study and environmental assessment of operation

Cost of Work:

	€	%
Grand Total Cost of Project - See Summary of Planned Work		100

Financed as Follows:

	€	%
Contribution of the Beneficiary		
– own funds/Issued Share Capital		
– loans (See 8.2).		
Grants		
Other Financial Contributions		
Total Financing = Grand Total Cost of Project		100

8.2 Loans

Please attach written verification of loan approval.

Source of Loan	
Term in years	
Interest Rate	
Repayment terms	

8.3 Other Funding Sources

Has an application been made for grant funding from any other Government source on expenditure listed in 8.1 e.g. Údarás na Gaeltachta, Enterprise Ireland, Leader, County Enterprise Board, Western Development Commission, International Fund for Ireland etc.?

--

8.4 Working Capital

What are the additional working capital requirements associated with the increased output and how will these be financed? Please document as appropriate.

9. HISTORICAL BALANCE SHEETS/PROFIT AND LOSS ACCOUNTS AND PROJECTED PROFIT AND LOSS ACCOUNTS

9.1 Please complete Appendices 1, 2, and 3 and enclosed latest set of certified accounts.

10. COST COMPETITIVENESS

Existing Unit Cost of Production	Projected Unit Cost of Production

11. SUSTAINABLE IMPACT

11.1 Socio Economic Impact

Describe the impact of the applicants existing activities in the local rural Economy and the impact of the proposed project on the rural economy in terms of Employment, Expenditure on other goods and services etc.

11.2 Environmental Impact

Describe the current impact of the applicants existing activities (visual, benthic, effluent discharge, chemicals, diseases, wildlife, pests etc.) on the environment and what measures are taken to ameliorate this impact.

11.3 Environmental Impact

Describe the impact of the applicants proposed project on the environment, if it will have a positive, negative or neutral effect, and explain why?

11.4 Economic Impact

Describe the impact this funding will have on your business.

12. TAX INFORMATION REQUIREMENTS

12.1 Have you complied with requirements regarding tax clearance in respect of:

- (a) The Applicant Yes ☐ No ☐
- (b) Contractors Yes ☐ No ☐

Note: The grant application cannot be progressed until the requirements regarding tax clearance/declaration have been met

Please attach tax clearance certificates or Declaration as appropriate.

13. DOCUMENTATION TO BE SUBMITTED

This application should be signed and dated by the Applicant/Beneficiary and submitted to BIM with the following documentation indicated below. Please tick the appropriate box to indicate whether the information is provided.

Documents	Yes	No	Not Applicable
1. Certificate of Incorporation and Memorandum and Articles of Association where Applicant/Beneficiary is a Company.			
2. Deeds of Partnership where Applicant/Beneficiary is a Partnership.			
3. Rules of Co-operative			
4. Ordinance Survey Map showing the location of the site marked in red on which the proposed project will be located			
5. - Aquaculture Licence			
- Foreshore Licence			
- Receipt confirming that fees have been paid to date			
- Planning Permission			
- Effluent Discharge Licence			
- Other Permissions - Specify			
6. Title to Land Based Site			
7. Business Plan			
8. Feasibility Study			
9. Aquaculture Workboats			
- Load Line Certificate			
- Vessel Registration Certificate			
8. Health and Safety Statement			
9. Descriptive Report			
10. Quotations for Items of Capital Expenditure			
11. Confirmation of Sources of Funding			
12. Copy of Accounts			
- Management			
- Certified			
13. Inventory of Physical Production Capacity			
14. Schedule of the physical production capacity of the Applicant's existing business			
15. Tax Clearance Certificate			
16. Signed Declaration regarding tax affairs			
17. Signed Declaration that harbour dues and any other amounts owing to DAMF have been paid in full			

Please note that applications will not be acknowledged until all required information has been received.

14. BANK ACCOUNT DETAILS

In the event that a grant is approved for this project, please specify the details of the Bank account into which the grant should be paid.

Name of Bank	
Address	
Name of Account	
Bank Account Number	
Sort Code	
BIC	
IBAN	

15. DECLARATION

I believe that the information contained in this application form is true. On behalf of the Applicant/ Beneficiary, I formally make application for grant aid as set out in section 9 above and I declare that the balance of funds will be made available by the Applicant/Beneficiary as indicated.

I permit BIM for the purpose of the Data Collection Regulation (1639/2001), to access the enclosed set certified accounts, as is required by law.

Declaration – Admissibility of applications

I hereby declare that I respect the criteria listed in paragraph 1 of Article 10 of the EMFF Regulation (Regulation 508/2014) and that I have not committed a fraud, as referred to in paragraph 3 of Article 10 of the EMFF Regulation, under Ireland's Seafood Development Programme 2007-13 (European Fisheries Fund) or under Ireland's European Maritime and Fisheries Fund Operational Programme 2014-20 (see Extract of Article 10 EMFF in the appendix).

Signature	
Date	

NOTE: If you consider that you may not fully meet the criteria detailed in article 10, please explain why in the box below.

--

How BIM will use your information

Any personal data you provide to Bord Iascaigh Mhara ("BIM") will be retained and processed by us for solely the purpose described in this form and as described in further detail in the BIM Data Protection Policy. Our Policy describes in detail how BIM, as a State Agency, is required to collect, process and transfer personal data for the purposes set out in various Irish and EU fisheries and marine legislation. These purposes include the administration of grants, conducting surveys and performing services for those working in the marine sector, for example, training, education and ice services. Your personal data may be disclosed to third parties where it is necessary and relevant to those purposes, for example, national or EU agencies with responsibility for the marine, grant administration or training awards or accreditation. While BIM does not engage in unsolicited direct marketing, BIM or its agents may contact you in relation to relevant events or initiatives and you can unsubscribe from such communications at any time. You have a right to access and rectify your personal data which can be exercised by writing to the Data Protection Officer, BIM, Crofton Road, Dún Laoghaire, Co. Dublin. The BIM Data Protection Policy is available on the BIM.ie website www.bim.ie.

Signature	
Date	

Freedom of Information Act, 1997

Agency will not release any information received as part of this application except as may be required by law, including the Freedom of Information Act, 1997.

In the event of an FOI request, the client will be given reasonable advance notice in order to contest such disclosure.

Forward completed form to:

Project Development Section,
BIM,
P.O. Box 12,
Crofton Road,
Dun Laoghaire,
Co. Dublin.
Telephone: (01) 214 4100

APPENDIX 1

SUMMARY OF HISTORICAL BALANCE SHEETS

As at:

20	20	20
€	€	€

Fixed Assets

Land & Buildings			
Vessels			
Machinery & Equipment			
Vehicles			
Other			
Total Fixed Assets			

Current Assets

Stocks			
Debtors			
Cash			
Other			
Total Current Assets			

Less Current Liabilities

Creditors			
Short term Borrowings (Less than 1 year)			
Bank Overdraft			
Other			
Total Current Liabilities			
Net Current Assets/(Liabilities)			
Net Assets/(Liabilities)			

Financed By

Issued Share Capital			
Reserves			
Borrowings/Debt (more than 1 year)			
Other (specify)			
TOTAL			

APPENDIX 2

SUMMARY OF HISTORICAL PROFIT AND LOSS ACCOUNTS FOR YEARS ENDING

As at:

20	20	20
€	€	€

2.1 Sales			
Quantity in Tonnes			
2.2 Less Cost of Sales			
Opening Stock			
2.2.1 Purchase of Juveniles			
2.2.2 Feed Purchases			
2.2.3 Other Direct Costs			
Less Closing Stock			
2.3 Cost of Sales			
2.4 Gross Value Added (=2.1-2.2)			
2.5 Cost of Labour			
2.6 Other Operating Expenses			
2.7 Financial Charges			
2.8 Operating Profit before Depreciation (=2.3-2.4-2.5-2.6)			
2.9 Depreciation Reserves			
2.10 Operating Profit after Depreciation (=2.7-2.8)			
2.11 Interest and Dividends			
2.12 Extraordinary Profits or Losses from the Preceding Financial Year			
Profit before Tax (=2.9+2.10+ 2.11)			
2.13 Tax and Duties			
2.14 Profit after Tax (=2.12-2.13)			

CONTRIBUTING FACTORS TO HISTORICAL PROFIT & LOSS

Please list the main factors contributing to the Turnover, Gross Margins and Net Profit/(Losses) indicated in Appendix 2 - Historical Profit and Loss

APPENDIX 3

PROJECTED PROFIT AND LOSS ACCOUNTS FOR YEARS ENDING

As at:

20	20	20
€	€	€

2.1 Sales			
Quantity in Tonnes			
2.2 Less Cost of Sales			
Opening Stock			
2.2.1 Purchase of Juveniles			
2.2.2 Feed Purchases			
2.2.3 Other Direct Costs			
Less Closing Stock			
2.3 Cost of Sales			
2.4 Gross Value Added (=2.1-2.2)			
2.5 Cost of Labour			
2.6 Other Operating Expenses			
2.7 Financial Charges			
2.8 Operating Profit before Depreciation (=2.3-2.4-2.5-2.6)			
2.9 Depreciation Reserves			
2.10 Operating Profit after Depreciation (=2.7-2.8)			
2.11 Interest and Dividends			
2.12 Extraordinary Profits or Losses from the Preceding Financial Year			
Profit before Tax (=2.9+2.10+ 2.11)			
2.13 Tax and Duties			
2.14 Profit after Tax (=2.12-2.13)			

CONTRIBUTING FACTORS TO PROJECTED PROFIT & LOSS

Please detail the main assumptions underlying the forecasts in appendix 3 e.g. individual product weight, unit selling prices, sales quantities, growth rates, feed conversion rates, annual stocking rates, unit input prices, labour rates and overhead costs

APPENDIX 4

Article 10 – Admissibility of applications

1. An application submitted by an operator for support from the EMFF shall be inadmissible for an identified period of time laid down pursuant to paragraph 4 of this Article, if it has been determined by the competent authority that the operator concerned:
 - (a) has committed a serious infringement under Article 42 of Council Regulation (EC) No 1005/2008⁽²¹⁾ or Article 90(1) of Regulation (EC) No 1224/2009;
 - (b) has been involved in the operation, management or ownership of fishing vessels included in the Union IUU vessel list as set out in Article 40(3) of Regulation (EC) No 1005/2008, or of vessels flagged to countries identified as non-cooperating third countries as set out in Article 33 of that Regulation;
 - (c) has committed a serious infringement of the CFP rules identified as such in other legislation adopted by the European Parliament and by the Council; or
 - (d) has committed any of the offences set out in Articles 3 and 4 of Directive 2008/99/EC of the European Parliament and of the Council⁽²²⁾, where the application is made for support under Chapter II of Title V of this Regulation.
2. The beneficiary, after submitting the application, shall continue to comply with the conditions referred to in points (a) to (d) of paragraph 1 throughout the period of implementation of the operation and for a period of five years after the final payment to that beneficiary.
3. An application submitted by an operator shall be inadmissible for an identified period of time laid down pursuant to paragraph 4 of this Article, if it has been determined by the competent authority that that operator has committed a fraud, as defined in Article 1 of the Convention on the protection of the European Communities' financial interests⁽²³⁾, in the context of the European Fisheries Fund (EFF) or the EMFF.
4. The Commission shall be empowered to adopt delegated acts in accordance with Article 126 concerning:
 - (a) the identification of the period of time referred to in paragraphs 1 and 3 of this Article which shall be proportionate to the nature, gravity, duration and repetition of the serious infringement, offence or fraud, and shall be of at least one year's duration;
 - (b) the relevant starting or ending dates of the period of time referred to in paragraphs 1 and 3 of this Article.
5. Member States shall require that operators submitting an application under the EMFF provide to the managing authority a signed statement confirming that they respect the criteria listed in paragraph 1 of this Article and declaring that they have not committed a fraud under the EFF or the EMFF as referred to in paragraph 3 of this Article. Member States shall verify the veracity of that statement before approving the operation, based on the information available in the national register of infringements referred to in Article 93 of Regulation (EC) No 1224/2009, or any other available data.

For the purposes of the first subparagraph, a Member State shall provide, on request from another Member State, the information contained in its national register of infringements referred to in Article 93 of Regulation (EC) No 1224/2009.

